

## Reporting possibilities

The following reporting modes are available for investments firms in the KDPW\_ARM Service:

- **Direct Reporting** - covering direct reporting by IFs, i.e. provision of complete information required under MiFIR RTS in a xml message sent directly to KDPW\_ARM.
- **TR Reporting (available only for KDPW\_TR participants)** - covering reports of transactions in derivatives sent by KDPW\_TR participants subject to the reporting obligations under Article 9 EMIR and Article 26 MiFIR. Acting as both TR and ARM, KDPW will transmit to the NCAs information received from a TR participant enriched with the data required under MiFIR RTS, allowing the IF to comply with the two reporting regimes – MiFIR and EMIR.

### CONTACT:

KDPW\_ARM   arm@kdpw.pl   www.kdpw.eu

**KDPW** | **ARM**

**reporting  
service  
under  
MiFIR**

**Why KDPW\_ARM ?**

## KDPW\_ARM

- Option of **concurrent** compliance with the reporting obligations under **MiFIR and EMIR via KDPW\_TR**.
- **Broad experience and a range of technologies and procedures** supporting the acceptance and maintenance of transaction reports as well as report validation.
- **Low**, competitive fees.
- **Rational business model** based on existing co-operation with local and foreign supervisors in the processing, publication, and quality assurance of reported data.
- Automated, **secure communication interface supporting correct, uninterrupted compliance with the reporting obligation**.
- **Professional customer service**.

## KDPW\_ARM Service functionalities

- Receiving and maintaining transaction reports from IF.
- Performing file and content checks of received reports.
- Building reports on the basis of transaction data from the markets and KDPW\_TR.
- Sending reports to the all NCAs in EU.
- Sending copies of reports sent to the NCA to IF.
- Reporting the report status issued by the NCA and the report status after the performed file and content checks.

## Fees table of ARM

	PLN	EUR*
Investment Firm reporting of own behalf (Ordinary ARM participant) - monthly fixed fee	<b>500/320**</b>	115/75
Representative (per single Investment Firm) – monthly fixed fee	<b>320</b>	75
Fee for ARM submission – per single report	<b>0,04</b>	0.01
CAP - the maximum total monthly fee amount for the ARM services (including fees for report and participation) in relation to single Investment Firm	<b>2950</b>	686

\* average exchange rate EUR/PLN– 4,30.

\*\* discounted fee charged if given Investment Firm is also client of other KDPW services